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# 2018/19 Capital Financial Performance: Quarter Three

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<b>Committee considering report:</b>	Executive on 14 February 2019
<b>Portfolio Member:</b>	Councillor Anthony Chadley
<b>Date Portfolio Member agreed report:</b>	17 January 2019
<b>Report Author:</b>	Shannon Coleman-Slaughter
<b>Forward Plan Ref:</b>	EX3593

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## 1. Purpose of the Report

- 1.1 To inform Members of the progress with major capital schemes, particularly those considered to be high risk, and forecast spend against the 2018/19 approved capital budget.

## 2. Recommendations

- 2.1 That Members should note progress against the Council's capital programme and forecast expenditure against the approved capital budget.

## 3. Implications

- 3.1 **Financial:**  
Any potential capital slippage will be monitored in year and any impact on the 2019/20 capital programme reviewed by the Capital Strategy Group (CSG).
- 3.2 **Policy:** n/a
- 3.3 **Personnel:** n/a
- 3.4 **Legal:** n/a
- 3.5 **Risk Management:** n/a
- 3.6 **Property:** n/a
- 3.7 **Other:** n/a

## 4. Other options considered

- 4.1 N/a – factual report for information.

## 5. Executive Summary

- 5.1 The Council set an original capital budget for 2018/19 of £72.8million, with funding of £25.5million from external grants, £4.5million section 106 contributions and Community Infrastructure Levy and with £42.8million planned to be funded from borrowing. In-year changes to the capital budget may occur as a result of budgets brought forward from the previous financial year, additional grants and section 106 allocations received in year and spend re-profiled into 2019/20.
- 5.2 The revised budget at Quarter Three is £90.6million compared to an original budget of £72.8million, mainly as a result of £11.3million programme slippage from the financial year 2017/18 and the re-profiling of £2 million highways spend to 2019/20, agreed by Capital Strategy Group in May and July 2018.
- 5.3 At the end of Quarter Three, expenditure of £87.6million has been forecast against the £90.6million budget (97%), an overall underspend of £3.1million is anticipated against the planned programme at outturn.

Directorate Summary	Current Budget	Quarter Two		Quarter Three		Change in Forecast from Last Quarter
		Forecast Spend in Year	Forecast (under)/Over Spend	Forecast Spend in Year	Forecast (under)/Over Spend	
	£'000	£'000	£'000	£'000	£'000	£'000
Communities	10,967	11,778	(937)	10,967	0	937
Economy & Environment	26,924	25,947	(2,878)	26,924	0	2,878
Resources	52,749	42,149	24	49,671	(3,078)	(3,102)
Totals	90,640	79,874	(3,791)	87,562	(3,078)	713

### 5.4 Communities Directorate

At the end of Quarter Three the Communities directorate is forecasting capital spend of £10.9million, 100% of the revised capital budget. Education Services have undertaken a thorough review of its current year programme during Quarter Three and as a result has re-profiled £1.67million spend to 2019/20 and later years. This is due to delays to a number of school schemes including the expansion of Trinity School and Winchcombe Primary School; improvements to Aldermaston Primary School and Hungerford Primary School kitchen and the new East of Area PRU.

### 5.5 Economy & Environment Directorate

At the end of Quarter Three the directorate is forecasting capital spend of £26.9 million, 100% of the revised capital budget. The Development and Planning Service has re-profiled £1.18million budget for the Four Houses Corner Gypsy and Travellers site because of problems with relocation of some tenants and ongoing contamination of the site are ongoing. The scheme to redevelop the site is currently planned to proceed in 2019/20, although it is likely that the cost will increase due to the additional contamination and alternative options for the future of the site are being considered.

5.6 Resources Directorate

At the end of Quarter Three the directorate is forecasting capital spend of £49.7 million, or 94% of the capital budget of £52.7million.

**6. Proposal**

6.1 To note the forecast position.

**7. Conclusion**

7.1 Progress with all capital schemes and in particular those which are considered to be high risk, will continue to be monitored by CSG and the final outturn position will be reported to Executive in June 2019.

**8. Appendices**

Appendix A - Data Protection Impact Assessment

Appendix B - Equalities Impact Assessment

Appendix C - Supporting Information

## Appendix A

### Data Protection Impact Assessment – Stage One

The General Data Protection Regulations require a Data Protection Impact Assessment (DPIA) for certain projects that have a significant impact on the rights of data subjects.

Should you require additional guidance in completing this assessment, please refer to the Information Management Officer via [dp@westberks.gov.uk](mailto:dp@westberks.gov.uk)

Directorate:	Resources
Service:	Finance & Property
Team:	Accountancy
Lead Officer:	Andy Walker
Title of Project/System:	N/a
Date of Assessment:	18.1.19

### Do you need to do a Data Protection Impact Assessment (DPIA)?

	Yes	No
<p><b>Will you be processing SENSITIVE or “special category” personal data?</b></p> <p>Note – sensitive personal data is described as “<i>data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, or trade union membership, and the processing of genetic data, biometric data for the purpose of uniquely identifying a natural person, data concerning health or data concerning a natural person’s sex life or sexual orientation</i>”</p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will you be processing data on a large scale?</b></p> <p>Note – Large scale might apply to the number of individuals affected OR the volume of data you are processing OR both</p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will your project or system have a “social media” dimension?</b></p> <p>Note – will it have an interactive element which allows users to communicate directly with one another?</p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will any decisions be automated?</b></p> <p>Note – does your system or process involve circumstances where an individual’s input is “scored” or assessed without intervention/review/checking by a human being? Will there be any “profiling” of data subjects?</p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will your project/system involve CCTV or monitoring of an area accessible to the public?</b></p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will you be using the data you collect to match or cross-reference against another existing set of data?</b></p>	<input type="checkbox"/>	<b>X</b>
<p><b>Will you be using any novel, or technologically advanced systems or processes?</b></p> <p>Note – this could include biometrics, “internet of things” connectivity or anything that is currently not widely utilised</p>	<input type="checkbox"/>	<b>X</b>

**If you answer “Yes” to any of the above, you will probably need to complete [Data Protection Impact Assessment - Stage Two](#). If you are unsure, please consult with the Information Management Officer before proceeding.**

## Appendix B

### Equality Impact Assessment - Stage One

We need to ensure that our strategies, policies, functions and services, current and proposed have given due regard to equality and diversity as set out in the Public Sector Equality Duty (Section 149 of the Equality Act), which states:

- “(1) A public authority must, in the exercise of its functions, have due regard to the need to:**
- (a) eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under this Act;**
  - (b) advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it; this includes the need to:**
    - (i) remove or minimise disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic;**
    - (ii) take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of persons who do not share it;**
  - (c) foster good relations between persons who share a relevant protected characteristic and persons who do not share it, with due regard, in particular, to the need to be aware that compliance with the duties in this section may involve treating some persons more favourably than others.**
- (2) The steps involved in meeting the needs of disabled persons that are different from the needs of persons who are not disabled include, in particular, steps to take account of disabled persons' disabilities.**
- (3) Compliance with the duties in this section may involve treating some persons more favorably than others.”**

The following list of questions may help to establish whether the decision is relevant to equality:

- Does the decision affect service users, employees or the wider community?
- (The relevance of a decision to equality depends not just on the number of those affected but on the significance of the impact on them)
- Is it likely to affect people with particular protected characteristics differently?
- Is it a major policy, or a major change to an existing policy, significantly affecting how functions are delivered?
- Will the decision have a significant impact on how other organisations operate in terms of equality?
- Does the decision relate to functions that engagement has identified as being important to people with particular protected characteristics?
- Does the decision relate to an area with known inequalities?
- Does the decision relate to any equality objectives that have been set by the council?

Please complete the following questions to determine whether a full Stage Two, Equality Impact Assessment is required.

<b>What is the proposed decision that you are asking the Executive to make:</b>	To note the Quarter Three capital monitoring position.
<b>Summary of relevant legislation:</b>	Not applicable
<b>Does the proposed decision conflict with any of the Council's key strategy priorities?</b>	No
<b>Name of assessor:</b>	Shannon Coleman-Slaughter
<b>Date of assessment:</b>	18.1.19

Is this a:		Is this:	
Policy	No	New or proposed	No
Strategy	No	Already exists and is being reviewed	No
Function	No	Is changing	No
Service	No		

<b>1 What are the main aims, objectives and intended outcomes of the proposed decision and who is likely to benefit from it?</b>	
<b>Aims:</b>	Overview of the position of the 2018/19 capital programme
<b>Objectives:</b>	As above
<b>Outcomes:</b>	As above
<b>Benefits:</b>	As above

<b>2 Note which groups may be affected by the proposed decision. Consider how they may be affected, whether it is positively or negatively and what sources of information have been used to determine this.</b> (Please demonstrate consideration of all strands – Age, Disability, Gender Reassignment, Marriage and Civil Partnership, Pregnancy and Maternity, Race, Religion or Belief, Sex and Sexual Orientation.)		
Group Affected	What might be the effect?	Information to support this
Age	Yes	Capital programme covers delivery of key projects aligned to the Council Strategy.
Disability	Yes	
Gender Reassignment	No	

Marriage and Civil Partnership	No	
Pregnancy and Maternity	No	
Race	No	
Religion or Belief	No	
Sex	No	
Sexual Orientation	No	
<b>Further Comments relating to the item:</b>		
Capital programme covers delivery of key projects aligned to the Council Strategy.		

<b>3 Result</b>	
<b>Are there any aspects of the proposed decision, including how it is delivered or accessed, that could contribute to inequality?</b>	<b>No</b>
<b>Please provide an explanation for your answer:</b> The report provides an overview of the financial position/delivery of projects against the overall capital programme.	
<b>Will the proposed decision have an adverse impact upon the lives of people, including employees and service users?</b>	<b>No</b>
<b>Please provide an explanation for your answer:</b> The report provides an overview of the financial position/delivery of projects against the overall capital programme.	

If your answers to question 2 have identified potential adverse impacts and you have answered 'yes' to either of the sections at question 3, or you are unsure about the impact, then you should carry out a Stage Two Equality Impact Assessment.

If a Stage Two Equality Impact Assessment is required, before proceeding you should discuss the scope of the Assessment with service managers in your area. You will also need to refer to the [Equality Impact Assessment guidance and Stage Two template](#).

<b>4 Identify next steps as appropriate:</b>	
<b>Stage Two required</b>	No
<b>Owner of Stage Two assessment:</b>	N/a
<b>Timescale for Stage Two assessment:</b>	N/a

Name: Shannon Coleman-Slaughter

Date: 18.1.19

Please now forward this completed form to Rachel Craggs, Principal Policy Officer (Equality and Diversity) ([rachel.craggs@westberks.gov.uk](mailto:rachel.craggs@westberks.gov.uk)), for publication on the WBC website.